



Middlebury

Securities Transfers to Benefit Middlebury College

- A. **If your broker (or bank) holds the securities in “street name,”** you should request that they be electronically transferred directly to Middlebury via DTC (Depository Trust Company):
1. Go online to <http://www.middlebury.edu/giving/ways/stocks>, to complete and submit the available securities transfer form, providing us with information regarding your intended gift.
 2. Send a letter of instruction to your broker indicating that you wish to make a stock gift to the College, specifying the name of the stock, the number of shares (or dollar amount) and gift designation. Some brokers may provide/require a specific form for a gift transfer. Please share a copy of your letter of instruction or form with Brandi Whittemore, Treasury Analyst, bwhittemore@middlebury.edu or fax, 802-443-5299. Without this information, there may be a delay in acknowledging the donor and crediting the appropriate program.
 3. You should instruct your broker to transfer the shares electronically via DTC (Depository Trust Company) to:

Middlebury College
c/o Charles Schwab
DTC#0164
Account #6277-6504
Reference: [*insert donor name/purpose*]

Contact information for transfer/delivery questions:

Charles Schwab
Customer Service: 866-232-9890

Middlebury College
Brandi Whittemore, Treasury Analyst, bwhittemore@middlebury.edu, 802-443-5903
Derek Hammel, Director of Investments & Treasury Operations, dhammel@middlebury.edu,
802-443-5751

For questions about designating your gift, please contact Brenda Currier, Director of Gift Administration & Reporting, currier@middlebury.edu, 802-443-5922.

B. If you hold shares in *certificate* form:

1. By certified mail, return receipt requested, send the unendorsed certificate to Brandi Whittemore, Treasury Analyst, 152 Maple Street, Marbleworks, Middlebury College, Middlebury, VT 05753.
2. In a separate first class envelope, send to the same address a stock power form, available on Middlebury's website at [Stock Power Form - click here](#), for each certificate, signed exactly as your name appears on the physical stock certificate but otherwise completely blank.
3. Enclose a letter of instruction with stock power form specifying the number of XYZ shares being transferred and the gift designation. For example, "100 shares of XYZ Corporation to the Middlebury College Annual Fund." If your gift is to be for less than the total number of shares listed on the certificate, include your social security number so a new certificate with the remaining shares can be issued in your name and returned to you.
4. The value of your gift will be the average of the high and low stock price, using the later of the postmark dates from the stock certificate and the stock power form.

C. If you hold mutual fund shares in your brokerage or mutual fund company account:

1. If you are planning to make a gift of mutual fund shares, we ask that you first check with your broker or mutual fund company for their transfer requirements and process. Some mutual funds can be transferred via DTC, others may not; some mutual funds require special handling and may take additional time to complete (potentially up to 4 weeks). Prior to initiating a gift transfer, please contact Brandi Whittemore at bwhittemore@middlebury.edu or 802-443-5903.

D. If you hold securities in book entry form by a transfer agent:

1. Please contact the transfer agent directly to learn about requirements for transferring gift stock to Middlebury. Transfer agents will not disclose the name of the donor to Middlebury—so please be sure to notify Brandi Whittemore at bwhittemore@middlebury.edu or 802-443-5903 that you have transferred securities to Middlebury via a transfer agent so we can acknowledge your gift properly. Please also forward a copy of your gift instruction letter to Brandi via email or fax 802-443-5299.



Middlebury

***Middlebury College Brokers
Tax ID # 03-0179298***

FIRM	DTC#	PHONE #	MC ACCOUNT #
Charles Schwab	0164	866-232-9890	6277-6504
Fidelity	0226	800-544-6666	X01-491349